



*Se for 5/2001* #3  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Y. Okachi

Serial No.: 09/688,429

Filed: October 16, 2000

For: APPARATUS AND METHOD FOR  
TRANSFORMING PICTURE  
INFORMATION

Case No.: 9792909-4841

Group Art Unit: 2612

Examiner: not yet assigned

Date: June 13, 2001

**Certificate of Mailing (37 CFR 1.8(a))**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231, on:

Date of Deposit: June 13, 2001

*Jo Ellen Hogan* 6/13/01  
Jo Ellen Hogan Date

**TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Dear Sir:

Enclosed herewith is a Response to Notice to File Missing Parts of Application (in duplicate) of Y. Okachi in the above-identified patent application entitled APPARATUS AND METHOD FOR TRANSFORMING PICTURE INFORMATION.

Also enclosed are: Request for Five Month Extension of Time  
Check in the amount of \$1,890.00  
Executed Declaration and Power of Attorney  
Check in the amount of \$130.00  
Revocation and Power of Attorney and Transmittal  
Notice to File Missing Parts of Application  
Return Receipt Postcard

The Commissioner is hereby authorized to charge any additional fees required, as well as any patent application processing fees associated with this communication for which full payment has not been tendered to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

SONNENSCHEIN NATH & ROSENTHAL

SONNENSCHEIN NATH & ROSENTHAL  
P.O. Box 061080  
Wacker Drive Station - Sears Tower  
Chicago, IL 60606-1080  
Telephone: (312) 876-8000

By: *David R. Metzger*  
David R. Metzger  
Registration No. 32,919

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Y. Okachi

Serial No.: 09/688,429

Filed: October 16, 2000

For: APPARATUS AND METHOD FOR  
TRANSFORMING PICTURE  
INFORMATION

Case No.: 9792909-4841

Group Art Unit: 2612

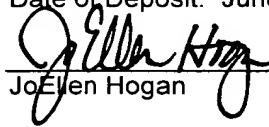


Certificate of Mailing (37 CFR 1.8(a))

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231, on:

Date of Deposit: June 13, 2001

 JoEllen Hogan

6/13/01  
Date

TRANSMITTAL LETTER

Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed herewith is a Revocation and Power of Attorney in the above-identified patent application, along with a copy of the recorded assignment.

Applicant's former counsel, Limbach & Limbach, has gone out of business, and forwarding of mail has been sporadic, at best. If any correspondence has been issued since January 1, 2001, please forward a copy to us as we cannot guarantee that it has been received by applicant, or applicant's current or former counsel.

The Commissioner is hereby authorized to charge any additional fees required, as well as any patent application processing fees associated with this communication for which full payment has not been tendered, to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

SONNENSCHEIN NATH & ROSENTHAL

SONNENSCHEIN NATH & ROSENTHAL  
P.O. Box 061080  
Wacker Drive Station  
Sears Tower  
Chicago, Illinois 60606-1080  
Telephone: (312) 876-8000

By:

  
David R. Metzger  
Registration No. 32,919

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Y. Okachi

Serial No.: 09/688,429

Filed: October 16, 2000

For: APPARATUS AND METHOD FOR  
TRANSFORMING PICTURE  
INFORMATION

Case No.: 9792909-4841

Group Art Unit: 2612

Examiner: not yet assigned

Date: June 13, 2001



## Certificate of Mailing (37 CFR 1.8(a))

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231, on:

Date of Deposit: June 13, 2001

*JoEllen Hogan* 6/13/01  
JoEllen Hogan Date

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application (Filing Date granted), dated November 27, 2000, in the above-identified patent application, applicant submits herewith an executed Declaration and Power of Attorney. Enclosed is a check in the amount of \$130.00 to cover the cost of the required surcharge.

Applicant also submits herewith a request for five month extension of time to respond to Notice to File Missing Parts of Nonprovisional Application and a check in the amount of \$1,890.00 to cover of the extension.

The Commissioner is hereby authorized to charge any additional fees required, as well as any patent application processing fees associated with this communication for which full payment has not been tendered, to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

SONNENSCHEIN NATH &amp; ROSENTHAL

SONNENSCHEIN NATH & ROSENTHAL  
P.O. Box 061080  
Wacker Drive Station  
Sears Tower  
Chicago, Illinois 60606-1080  
Telephone: (312) 876-8000

By: David R. Metzger  
David R. Metzger  
Registration No. 32,919



井3

PATENT  
IN THE UNITED STATES PATENT & TRADEMARK OFFICE

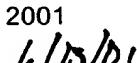
APPLICANT: Y. Okachi  
SERIAL NO.: 09/688,429  
FILED: October 16, 2000  
FOR: APPARATUS AND METHOD FOR  
TRANSFORMING PICTURE  
INFORMATION  
CASE NO.: 9792909-4841  
EXAMINER: not yet assigned  
DATE: June 13, 2001

GROUP ART UNIT: 2612

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

Assistant Commissioner for Patents  
Washington, D.C. 20231

Date of Deposit: June 13, 2001

   
JoEllen Hogan Date

## **REQUEST FOR EXTENSION OF TIME**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Applicant hereby petitions the Commissioner of Patents and Trademarks to extend the time for response to the Notice to File Missing Parts of Nonprovisional Application dated November 27, 2000, for five (5) months from January 27, 2001 to June 27, 2001. Enclosed is a check in the amount of \$1,890.00 to cover the cost of the extension.

The Commissioner is hereby authorized to charge any additional fees required, as well as any patent application processing fees associated with this communication for which full payment has not been tendered, to Deposit Account No. 19-3140. A duplicate copy of this sheet is attached.

Respectfully submitted,

## SONNENSCHEIN NATH & ROSENTHAL

SONNENSCHEIN NATH & ROSENTHAL  
P.O. Box 061080  
Wacker Drive Station  
Sears Tower  
Chicago, Illinois 60606-1080  
Telephone: (312) 876-8000

By: David R. Metzger  
David R. Metzger  
Registration No. 32,919

#3



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/688,429	10/16/2000	Yuji Okachi	SONY-U0446

Charles P Sammut  
Limbach & Limbach LLP  
2001 Ferry Building  
San Francisco, CA 94111

FORMALITIES LETTER



\*OC000000005576440\*

Date Mailed: 11/27/2000

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/20/2001 GTEFFERA 00000090 09688429

01 FC:105

130.00 0P